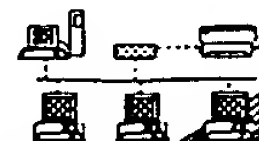


T. Prasthofer

BIOTECHNOLOGY  
SYSTEMS  
BRANCH



**RAW SEQUENCE LISTING**  
**ERROR REPORT**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/429,798

Source: 1627

Date Processed by STIC: 4-17-01

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TECH CENTER 1600/2900

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

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- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

**Checker Version 3.0**

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 - 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address:

<http://www.uspto.gov/web/offices/pac/checker>

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MAY 01 2001 1627

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RAW SEQUENCE LISTING  
 PATENT APPLICATION: US/09/429,798

DATE: 04/17/2001  
 TIME: 13:01:22

Input Set : A:\9233-8DV1.txt  
 Output Set: N:\CRF3\04172001\I429798.raw

Does Not Comply  
 Corrected Diskette Needed

see p. 5

3 <110> APPLICANT: Ekwuribe, Nnochiri  
 4 Radhakrishnan, Balasingam  
 5 Price, Christopher  
 6 Anderson, Wesley  
 7 Ansari, Aslam  
 9 <120> TITLE OF INVENTION: BLOOD-BRAIN BARRIER THERAPEUTICS  
 11 <130> FILE REFERENCE: 9233.8DV1  
 13 <140> CURRENT APPLICATION NUMBER: 09/429,798  
 14 <141> CURRENT FILING DATE: 1999-10-29  
 16 <150> PRIOR APPLICATION NUMBER: 09/134,803  
 17 <151> PRIOR FILING DATE: 1998-08-14  
 19 <160> NUMBER OF SEQ ID NOS: 52  
 21 <170> SOFTWARE: PatentIn version 3.0  
 23 <210> SEQ ID NO: 1  
 24 <211> LENGTH: 6  
 25 <212> TYPE: PRT  
 26 <213> ORGANISM: synthetic construct  
 28 <220> FEATURE:  
 29 <221> NAME/KEY: MOD\_RES  
 30 <222> LOCATION: (6)..(6)  
 31 <223> OTHER INFORMATION: Polymer connected to epsilon-amino group  
 34 <400> SEQUENCE: 1  
 36 Tyr Gly Gly Phe Met Lys  
 37 1 5  
 39 <210> SEQ ID NO: 2  
 40 <211> LENGTH: 6  
 41 <212> TYPE: PRT  
 42 <213> ORGANISM: synthetic construct  
 44 <220> FEATURE:  
 45 <221> NAME/KEY: MOD\_RES  
 46 <222> LOCATION: (1)..(1)  
 47 <223> OTHER INFORMATION: Polymer connected to alpha-amino group  
 50 <220> FEATURE:  
 51 <221> NAME/KEY: MOD\_RES  
 52 <222> LOCATION: (6)..(6)  
 53 <223> OTHER INFORMATION: Polymer connected to epsilon-amino group  
 56 <400> SEQUENCE: 2  
 58 Tyr Gly Gly Phe Met Lys  
 59 1 5  
 61 <210> SEQ ID NO: 3  
 62 <211> LENGTH: 6  
 63 <212> TYPE: PRT  
 64 <213> ORGANISM: synthetic construct  
 66 <220> FEATURE:  
 67 <221> NAME/KEY: MOD\_RES  
 68 <222> LOCATION: (1)..(1)  
 69 <223> OTHER INFORMATION: Polymer connected to alpha-amino group

RAW SEQUENCE LISTING  
 PATENT APPLICATION: US/09/429,798

DATE: 04/17/2001  
 TIME: 13:01:22

Input Set : A:\9233-8DV1.txt  
 Output Set: N:\CRF3\04172001\I429798.raw

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72 <400> SEQUENCE: 3
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75 1 5
77 <210> SEQ ID NO: 4
78 <211> LENGTH: 6
79 <212> TYPE: PRT
80 <213> ORGANISM: synthetic construct
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83 <221> NAME/KEY: MOD_RES
84 <222> LOCATION: (1)..(1)
85 <223> OTHER INFORMATION: ACETYLATION
88 <220> FEATURE:
89 <221> NAME/KEY: MOD_RES
90 <222> LOCATION: (6)..(6)
91 <223> OTHER INFORMATION: AMIDATION
94 <400> SEQUENCE: 4
96 Phe Arg Trp Trp Tyr Lys
97 1 5
99 <210> SEQ ID NO: 5
100 <211> LENGTH: 6
101 <212> TYPE: PRT
102 <213> ORGANISM: synthetic construct
104 <220> FEATURE:
105 <221> NAME/KEY: MOD_RES
106 <222> LOCATION: (1)..(1)
107 <223> OTHER INFORMATION: ACETYLATION
110 <220> FEATURE:
111 <221> NAME/KEY: MOD_RES
112 <222> LOCATION: (6)..(6)
113 <223> OTHER INFORMATION: AMIDATION
116 <400> SEQUENCE: 5
118 Arg Trp Ile Gly Trp Lys
119 1 5
121 <210> SEQ ID NO: 6
122 <211> LENGTH: 6
123 <212> TYPE: PRT
124 <213> ORGANISM: synthetic construct
126 <220> FEATURE:
127 <221> NAME/KEY: MOD_RES
128 <222> LOCATION: (6)..(6)
129 <223> OTHER INFORMATION: AMIDATION
132 <220> FEATURE:
133 <221> NAME/KEY: UNSURE
134 <222> LOCATION: (6)..(6)/
135 <223> OTHER INFORMATION: Xaa can be any of the twenty naturally occurring amino acids
138 <400> SEQUENCE: 6
W--> 140 Trp Trp Pro Lys His Xaa
141 1 5
143 <210> SEQ ID NO: 7

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RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/429,798

DATE: 04/17/2001  
TIME: 13:01:22

Input Set : A:\9233-8DV1.txt  
Output Set: N:\CRF3\04172001\I429798.raw

144 <211> LENGTH: 4  
145 <212> TYPE: PRT  
146 <213> ORGANISM: synthetic construct  
148 <220> FEATURE:  
149 <221> NAME/KEY: MOD\_RES  
150 <222> LOCATION: (4)..(4)  
151 <223> OTHER INFORMATION: AMIDATION  
154 <220> FEATURE:  
155 <221> NAME/KEY: UNSURE  
156 <222> LOCATION: (4)..(4) /  
157 <223> OTHER INFORMATION: Xaa is either Lys or Arg  
160 <400> SEQUENCE: 7  
W--> 162 Trp Trp Pro Xaa  
163 1  
165 <210> SEQ ID NO: 8  
166 <211> LENGTH: 6  
167 <212> TYPE: PRT  
168 <213> ORGANISM: synthetic construct  
170 <220> FEATURE:  
171 <221> NAME/KEY: MOD\_RES  
172 <222> LOCATION: (6)..(6)  
173 <223> OTHER INFORMATION: AMIDATION  
176 <220> FEATURE:  
177 <221> NAME/KEY: UNSURE  
178 <222> LOCATION: (6)..(6) /  
179 <223> OTHER INFORMATION: Xaa can be any one of the naturally occurring amino acids  
182 <400> SEQUENCE: 8  
W--> 184 Tyr Pro Phe Gly Phe Xaa  
185 1 5  
187 <210> SEQ ID NO: 9  
188 <211> LENGTH: 7  
189 <212> TYPE: PRT  
190 <213> ORGANISM: synthetic construct  
192 <220> FEATURE:  
193 <221> NAME/KEY: MOD\_RES  
194 <222> LOCATION: (1)..(5)  
195 <223> OTHER INFORMATION: Amino acids are in the D-form  
198 <220> FEATURE:  
199 <221> NAME/KEY: MOD\_RES  
200 <222> LOCATION: (6)..(6)  
201 <223> OTHER INFORMATION: n is 0 or 1  
204 <220> FEATURE:  
205 <221> NAME/KEY: MOD\_RES  
206 <222> LOCATION: (7)..(7) /  
207 <223> OTHER INFORMATION: Xaa is Gly or the D-form of a naturally occurring amino acid  
210 <220> FEATURE:  
211 <221> NAME/KEY: MOD\_RES  
212 <222> LOCATION: (7)..(7)  
213 <223> OTHER INFORMATION: AMIDATION

RAW SEQUENCE LISTING  
 PATENT APPLICATION: US/09/429,798

DATE: 04/17/2001  
 TIME: 13:01:22

Input Set : A:\9233-8DV1.txt  
 Output Set: N:\CRF3\04172001\I429798.raw

```

216 <400> SEQUENCE: 9
W--> 218 Ile Met Ser Trp Trp Gly Xaa /
219 1 5
221 <210> SEQ ID NO: 10
222 <211> LENGTH: 6
223 <212> TYPE: PRT
224 <213> ORGANISM: synthetic construct
226 <220> FEATURE:
227 <221> NAME/KEY: MOD_RES
228 <222> LOCATION: (1)..(4)
229 <223> OTHER INFORMATION: Amino acids are in the D-form
232 <220> FEATURE:
233 <221> NAME/KEY: MOD_RES
234 <222> LOCATION: (6)..(6) /
235 <223> OTHER INFORMATION: Xaa is Gly or the D-form of a naturally-occurring amino acid
238 <220> FEATURE:
239 <221> NAME/KEY: MOD_RES
240 <222> LOCATION: (6)..(6)
241 <223> OTHER INFORMATION: AMIDATION
244 <400> SEQUENCE: 10 /
W--> 246 Ile Met Thr Trp Gly Xaa
247 1 5
249 <210> SEQ ID NO: 11
250 <211> LENGTH: 4
251 <212> TYPE: PRT
252 <213> ORGANISM: synthetic construct
254 <220> FEATURE:
255 <221> NAME/KEY: MOD_RES
256 <222> LOCATION: (2)..(2)
257 <223> OTHER INFORMATION: Xaa is A1, wherein A1 is the D-form of Nve or Nle
260 <220> FEATURE:
261 <221> NAME/KEY: MOD_RES
262 <222> LOCATION: (3)..(3)
263 <223> OTHER INFORMATION: Xaa is B2, wherein B2 is Gly, Phe, or Trp
266 <220> FEATURE:
267 <221> NAME/KEY: MOD_RES
268 <222> LOCATION: (4)..(4) /
269 <223> OTHER INFORMATION: Xaa is C3, wherein C3 is Trp or Nap
272 <220> FEATURE:
273 <221> NAME/KEY: MOD_RES
274 <222> LOCATION: (4)..(4)
275 <223> OTHER INFORMATION: AMIDATION
278 <400> SEQUENCE: 11 /
W--> 280 Tyr Xaa Xaa Xaa
281 1
283 <210> SEQ ID NO: 12
284 <211> LENGTH: 3
285 <212> TYPE: PRT
286 <213> ORGANISM: synthetic construct

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## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/429,798

DATE: 04/17/2001

TIME: 13:01:22

Input Set : A:\9233-8DV1.txt

Output Set: N:\CRF3\04172001\I429798.raw

288 <220> FEATURE:  
 289 <221> NAME/KEY: MOD\_RES  
 290 <222> LOCATION: (1)..(1)  
 291 <223> OTHER INFORMATION: Tyr has at its N-terminus an Me-x-H-y-N group, wherein x is 0, 1,  
 292 or 2; and y is 0, 1, or 2, with the proviso that x and y is neve  
 293 r greater than           ? *incomplete explanation.*  
 296 <220> FEATURE:  
 297 <221> NAME/KEY: MOD\_RES  
 298 <222> LOCATION: (1)..(2)  
 299 <223> OTHER INFORMATION: The amine between the first Tyr and the second Tyr is methylated  
 303 <220> FEATURE:  
 304 <221> NAME/KEY: MOD\_RES  
 305 <222> LOCATION: (3)..(3)  
 306 <223> OTHER INFORMATION: Xaa is Xaa-z, wherein Xaa is Phe, (D)Phe, or NHBzl, and wherein z  
 307 is 0 or           ? *incomplete explanation*  
 310 <220> FEATURE:  
 311 <221> NAME/KEY: MOD\_RES  
 312 <222> LOCATION: (3)..(3)  
 313 <223> OTHER INFORMATION: AMIDATION  
 316 <400> SEQUENCE: 12  
 W--> 318 Tyr Tyr Xaa  
 319 1  
 321 <210> SEQ ID NO: 13  
 322 <211> LENGTH: 6  
 323 <212> TYPE: PRT  
 324 <213> ORGANISM: synthetic construct  
 326 <220> FEATURE:  
 327 <221> NAME/KEY: MOD\_RES  
 328 <222> LOCATION: (4)..(4) /  
 329 <223> OTHER INFORMATION: Xaa is D4, wherein D4 is Lys or Arg  
 332 <220> FEATURE:  
 333 <221> NAME/KEY: MOD\_RES  
 334 <222> LOCATION: (5)..(5)  
 335 <223> OTHER INFORMATION: His is His-z, wherein z is 0 or 1  
 338 <220> FEATURE:  
 339 <221> NAME/KEY: MOD\_RES  
 340 <222> LOCATION: (6)..(6)  
 341 <223> OTHER INFORMATION: Xaa is Xaa-z, wherein Xaa is a naturally occurring amino acid and  
 342 z is 0 or           ? *incomplete explanation*  
 345 <220> FEATURE:  
 346 <221> NAME/KEY: MOD\_RES  
 347 <222> LOCATION: (6)..(6)  
 348 <223> OTHER INFORMATION: AMIDATION  
 351 <400> SEQUENCE: 13  
 W--> 353 Trp Trp Pro Xaa His Xaa  
 354 1 5  
 356 <210> SEQ ID NO: 14  
 357 <211> LENGTH: 4  
 358 <212> TYPE: PRT

**Please Note:**

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.